

# Philip Charlton

## Senior Advisor – Risk Advisory, Norton Rose Fulbright

Phil Charlton is a senior risk advisor based in Sydney.

He has almost 30 years' experience working in legal, regulatory and risk management roles in multiple jurisdictions across Asia, Europe and the United Kingdom. He has extensive experience in the areas of operational and non-financial risk in rapidly changing, unpredictable and complex commercial environments.

Phil has worked as a senior regulator and strategist in a number of leadership and executive roles for the Australian Securities and Investments Commission (ASIC) in Sydney. He has also previously worked as a partner, lawyer and business manager with a global law firm, running finance and capital markets businesses in both developed and developing jurisdictions. A key area of Phil's work in this global space involved advising financial institutions on the strategic, risk, legal and commercial aspects of their key transactions and managing the delivery of services to leading institutional players.

Working as a senior risk consultant within Norton Rose Fulbright's Risk Advisory team, Phil specialises in advising companies and financial institutions on risk and compliance frameworks and the establishment of risk controls. His work also includes advising on governance, conduct and culture; the strategic and practical elements of regulatory engagement; management information and reporting including issue escalation; and the content of associated policies, procedures, codes of conduct and processes that help organisations to effectively mitigate risk.

Phil is recognised for his technical approach and strategic mind-set. His strong co-ordination and communication skills have enabled him to develop robust internal regulatory relationships and he offers clients a unique on-the-ground sense of the conceptual and practical approaches that regulators can take in their supervisory and enforcement responsibilities, as well as how to engage with regulatory bodies in the most effective way.

